

10-15-01

A

ATTORNEY'S DOCKET NO.:
020431.0919

PATENT

Page 1

Express Mail No.: EL 501019815 US

PATENT APPLICATION

Attorney Docket No.: 020431.0919

TRANSMITTAL FOR U.S. PATENT APPLICATION
UNDER 37 C.F.R. § 1.53(b)

Box: Patent Application
ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

Sir:

Inventor or Application Identifier:

Manoel (nmi) Tenorio

Transmitted herewith for filing is the patent application entitled:

*Displaying Strikes Between Bids and Asks in a
Market Over Time Using Polygons*

Enclosed are:

- ☒ Specification, Claims and Abstract (25 Total Pages)
- ☒ Drawing(s) (2 Total Sheet(s) of ☒ Formal ☐ Informal)
- ☒ Combined Declaration and Power of Attorney (2 Total Pages)
☒ Newly executed (original or copy)
- ☒ An Assignment of the invention to i2 Technologies US, Inc. is attached. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h).
- ☒ Certificate of Mailing (1 page)
- ☒ Return Receipt Postcard
- ☐ Information Disclosure Statement (IDS) with PTO-1449
- ☐ Copies of IDS Citations.
- ☒ Non-Publication Request Under 35 U.S.C. § 122(b)(2)(B)(i) (1 page);
- ☐ Other

Express Mail Receipt
No. EL 501019815US

Applicant is:

☒ Large Entity
☐ Small Entity

The accompanying application is:

☒ Original
☐ Continuation ☐ Divisional ☐ Continuation-In-Part
☐ (CIP) of prior application No. _____ which is hereby incorporated by
reference therein.

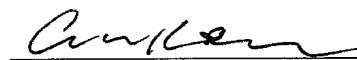
FEE CALCULATION (LARGE ENTITY)					FEE
	Number		Number Extra	Rate	Basic Fee \$740.00
Total Claims:	32	-20 =	12	X \$18.00	216.00
Independent Claims	5	- 3 =	2	X \$84.00	168.00
TOTAL FILING FEE =					\$1,224.00

☒ Enclosed is a check in the amount of \$1,224.00 for fees.

☒ Please charge any additional fees required by this paper to Deposit Account
No. 02-0384 of BAKER BOTTS L.L.P. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

BAKER BOTTS L.L.P.

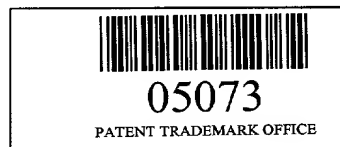


Christopher W. Kennerly
Reg. No. 40,675
Attorneys for Applicants

Dated: October 12, 2001

Correspondence Address:

☒ Customer Number or Bar Code Label



10/12/01
J1052 U.S. PTO

ATTORNEY'S DOCKET NO.:
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PATENT

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Manoel (nmi) Tenorio

Filing Date: October 12, 2001

Title: Displaying Strikes Between Bids and Asks in a Market
Over Time Using Polygons

BOX PATENT APPLICATION

Assistant Commissioner

for Patents

Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application, Fee Transmittal Sheet, Declaration and Power of Attorney, Assignment Recordation Form and Assignment, 2 sheets of Formal Drawings, Non-Publication Request, checks in the amounts of \$1,224.00 and \$40.00, and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 12th day of October, 2001 and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Willie Jiles

Willie Jiles

Express Mail Receipt
No. EL 501019815 US
Attorney's Docket No.: 020431.0919

ATTORNEY DOCKET NO.
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PATENT APPLICATION

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Manoel (nmi) Tenorio

Filing Date: October 12, 2001

Title: *Displaying Strikes Between Bids and Asks in a Market Over
Time Using Polygons*

BOX: Patent Application

Assistant Commissioner of Patents

Washington, D.C. 20231

Dear Sir:

NON-PUBLICATION REQUEST

Pursuant to 37 C.F.R. § 1.213(a), Applicants respectfully request that the above-identified patent application not be published under 37 U.S.C. § 122(b). Applicants hereby certify that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Respectfully submitted,

BAKER BOTTS L.L.P.
Attorneys for Applicants



Christopher W. Kennerly
Reg. No. 40,675

Please send all correspondence to:
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Date: October 12, 2001

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